



2743

Receipt
0300
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

Applicant : Henry Li, et al.
Application No. : 09/522, 184
Filed : March 09, 2000
Title : VOICE AND DATA EXCHANGE OVER A PACKET
BASED NETWORK

Grp./Div. : 2743
Examiner : Not Assigned
Docket No. : 36941/CAG/B600

I HEREBY CERTIFY THAT THIS CORRESPONDENCE
IS BEING DEPOSITED WITH THE U.S. POSTAL
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ADDRESSED TO: ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, D.C. 20231 ON

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DATE
Signature
SIGNATURE

LETTER TO CORRECT FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Pasadena, CA 91109-7068
July 25, 2000

Commissioner:

When proofing the Filing Receipt for the above-identified application, we located the following error:

Please correct the addresses for applicants as follows:

Henry Li, Vancouver, B.C., CANADA;
David M. Enns, Vancouver, B.C., CANADA;
Jordan J. Nicol, Vancouver, B.C., CANADA;
Kenny C. Kwan, New Westminister, B.C., CANADA;
Ross Mitchell, Tsawassen, B.C., CANADA;
Wilf LeBlanc, Vancouver, B.C. CANADA
Ken Unger, Surrey, B.C., CANADA
John Payton, Middletown, MD;
Shawn Stevenson, New Westminister, B.C., CANADA
Bill Boora, Surrey, B.C., CANADA
Onur Tackin, Vancouver, B.C., CANADA

Additionally in the Continuing Data as Claimed by Applicant, please list as follows;

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AUG 17 2000
TC 2100 MAIL ROOM

THIS APPLICATION IS A CIP OF 09/493,458 01/28/2000
WHICH IS A CIP OF 09/454,219 12/09/1999
WHICH CLAIMS BENEFIT OF 60/154,903 09/20/1999
AND CLAIMS BENEFIT OF 60/156,266 09/27/1999
AND CLAIMS BENEFIT OF 60/157,470 10/01/1999
AND CLAIMS BENEFIT OF 60/160,124 10/18/1999
AND CLAIMS BENEFIT OF 60/161,152 10/22/1999
AND CLAIMS BENEFIT OF 60/162,315 10/28/1999
AND CLAIMS BENEFIT OF 60/163,169 11/02/1999
AND CLAIMS BENEFIT OF 60/163,170 11/02/1999
AND CLAIMS BENEFIT OF 60/163,600 11/04/1999
AND CLAIMS BENEFIT OF 60/164,379 11/09/1999
AND CLAIMS BENEFIT OF 60/164,690 11/10/1999
AND CLAIMS BENEFIT OF 60/164,689 11/10/1999
AND CLAIMS BENEFIT OF 60/166,289 11/18/1999
AND CLAIMS BENEFIT OF 60/171,203 12/15/1999
AND CLAIMS BENEFIT OF 60/171,180 12/16/1999
AND CLAIMS BENEFIT OF 60/171,169 12/16/1999
AND CLAIMS BENEFIT OF 60/171,184 12/16/1999
AND CLAIMS BENEFIT OF 60/178,258 01/25/2000

As proof of the requested corrections, please see the attached copies of Applicant's Utility Patent Application Transmittal, and Declaration and Power of Attorney.

Please forward a Corrected Filing Receipt to the undersigned.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Craig A. Gelfound

Craig A. Gelfound
Reg. No. 41,032
(626) 795-9900

CAG/cmr

Enclosure: Copy of Filing Receipt

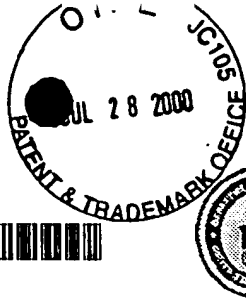
CMR PAS259713.1-7/25/00 8:51 AM

CAG

FILING RECEIPT



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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/522,184	03/09/2000	2743	1668	36941/CAG/B600	34	70	4

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Christie Parker & Hale LLP
Craig A Gelfound
P O Box 7068
Pasadena, CA 91109-7068

B600:
36941
CASE # 36941 ACTION
REMINDED DUE DATE
DEADLINE

MAY 31 2000

Christie, Parker & Hale LLP
Date Mailed: 05/26/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Henry Li, Vancouver, CANADA;
David M. Enns, Vancouver, CANADA;
Jordan J. Nicol, Vancouver, CANADA;
Kenny C. Kwan, New Westminister, CANADA;
Ross Mitchell, Tsawassen, CANADA;
Wilf LeBlanc, Vancouver, CANADA;
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Shawn Stevenson, New Westminister, CANADA;
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Onur Tackin, Vancouver, CANADA;

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Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/493,458 01/28/2000
WHICH IS A CIP OF 09/454,219 12/09/1999
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WHICH CLAIMS BENEFIT OF 60/164,690 11/10/1999
WHICH CLAIMS BENEFIT OF 60/164,689 11/10/1999

Foreign Applications

If Required, Foreign Filing License Granted 05/26/2000

Title

Voice and data exchange over a packet based network

Preliminary Class

379

Data entry by : HARRISON, BRUCE**Team :** OIPE**Date:** 05/26/2000

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

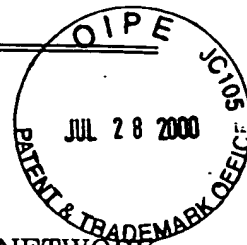
- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the

Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))



Docket No. : 36941/CAG/B600
Inventor(s) : Henry Li, et al.
Title : VOICE AND DATA EXCHANGE OVER A PACKET BASED NETWORK
Express Mail Label No. : EL521382745US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: March 9, 2000

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. IF A CONTINUING APPLICATION

☒ The present application is a continuation-in-part of co-pending patent Application No. 09/493,458, filed January 28, 2000, which is a continuation-in-part of co-pending Application No. 09/454,219, filed December 9, 1999, priority of each application which is hereby claimed under 35 U.S.C. § 120.

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/154,903, filed September 20, 1999; Application No. 60/156,266, filed September 27, 1999; Application No. 60/157,470, filed October 1, 1999; Application No. 60/160,124, filed October 18, 1999; Application No. 60/161,152, filed October 22, 1999; Application No. 60/162,315, filed October 28, 1999; Application No. 60/163,169, filed November 2, 1999; Application No. 60/163,170, filed November 2, 1999; Application No. 60/163,600; filed November 4, 1999; Application No. 60/164,379, filed November 9, 1999; Application No. 60/164,690, filed November 10, 1999; Application No. 60/166,289, filed November 18, 1999; Application No. 60/171,203, filed December 15, 1999; Application No. 60/171,180, filed December 16, 1999; Application No. 60/171,169, filed December 16, 1999; Application No. 60/171,184, filed December 16, 1999, and Application No. 60/178,258, filed January 25, 2000.

3. APPLICATION COMPRISED OF

Specification

123 Specification, claims and Abstract (total pages)

Drawings

34 Sheets of drawing(s) (FIGS. 1 to 36)

Declaration and Power of Attorney

☐ Newly executed

☒ No executed declaration

☐ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 36941/CAG/B600

4. ☐ Microfiche Computer Program (*Appendix*)
5. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
☐ Computer Readable Copy
☐ Paper Copy (identical to computer copy)
☐ Statement verifying identity of above copies
6. **ALSO ENCLOSED ARE**
☐ Preliminary Amendment
☐ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
☐ Small Entity Statement(s)
☐ Statement filed in parent application, status still proper and desired
☐ Copy of Statement filed in provisional application, status still proper and desired
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
☐ English Translation Document (*if applicable*)
☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
☐ Other

7. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Craig A. Gelfound
Craig A. Gelfound
Reg. No. 41,032
626/795-9900

CAG/ej

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**



DATE: March 9, 2000

Docket No. : 36941/CAG/B600

Inventor(s) : Henry Li, et al.

Title : VOICE AND DATA EXCHANGE OVER A PACKET BASED NETWORK

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	70 - 20 =	50	50 x \$9.00	\$ 450.00
B	INDEPENDENT CLAIMS	4 - 3 =	1	1 x \$39.00	\$ 39.00
C	SUBTOTAL SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)				978.00
D	BASIC FEE SMALL ENTITY FEE = \$345.00 LARGE ENTITY FEE = \$690.00				690.00
E	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$130.00 LARGE ENTITY FEE = \$260.00				0
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				1668.00
List Independent Claims: 1, 14, 26 & 48					

METHOD OF PAYMENT

☒ Payment Enclosed: Check for \$1,668.00

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. A copy of this letter is enclosed.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Craig A. Gelfound
Craig A. Gelfound
Reg. No. 41,032
626/795-9900

CAG/ej

EJ PAS237745.1-* 3/9/00 6:56 PM